# IAP12 Rec'd PCT/PTO 01 SEP 2006

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT-OF COMMERCE (REV. 7-2005) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

5727-200678

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/5 91 311

ATTORNEY'S DOCKET NUMBER

| INTERNATIONAL APPLICATION I | 10. |
|-----------------------------|-----|
| PCT/EP2005/001196           |     |

INTERNATIONAL FILING DATE 05 February 2005 (05.02.2005) PRIORITY DATE CLAIMED 04 March 2004 (04.03.2004)

TITLE OF INVENTION

1

# HANDHELD APPARATUS FOR ANALYSIS WITH A CONVEYANCE PATH FOR TEST ELEMENTS

| APPL   | CANT        | T(S) FOR DO/EO/US   |  |  |  |  |  |  |  |
|--------|-------------|---|--|--|--|--|--|--|--|
| SCHA   | ABBA        | ACH, Michael  |  |  |  |  |  |  |  |
|        |             |   |  |  |  |  |  |  |  |
| Applic | ant he      | erewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |  |  |  |  |  |  |
| 1.     | X           | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |  |  |  |  |  |  |  |
| 2.     |             | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |  |  |  |  |  |  |  |
| 3.     |             | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. |  |  |  |  |  |  |  |
| 4.     | $\boxtimes$ | The US has been elected (Article 31).   |  |  |  |  |  |  |  |
| 5.     | $\boxtimes$ | A copy of the International Application as filed (35 U.S.C. 371 (c)(2))   |  |  |  |  |  |  |  |
|        |             | a.  is attached hereto (required only if not communicated by the International Bureau).   |  |  |  |  |  |  |  |
|        |             | b. 🗵 has been communicated by the International Bureau.   |  |  |  |  |  |  |  |
|        |             | c. $\square$ is not required, as the application was filed in the United States Receiving Office (RO/US).   |  |  |  |  |  |  |  |
| 6.     | $\boxtimes$ | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |  |  |  |  |  |  |  |
|        |             | a. 🗵 is attached hereto.  |  |  |  |  |  |  |  |
|        |             | b.  has been previously submitted under 35 U.S.C. 154(d)(4).  |  |  |  |  |  |  |  |
| 7.     | $\boxtimes$ | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))   |  |  |  |  |  |  |  |
|        |             | a.   are attached hereto (required only if not communicated by the International Bureau).   |  |  |  |  |  |  |  |
|        |             | b.  have been communicated by the International Bureau.   |  |  |  |  |  |  |  |
|        |             | c.  have not been made; however, the time limit for making such amendments has NOT expired.   |  |  |  |  |  |  |  |
|        |             | d. 🗵 have not been made and will not be made.   |  |  |  |  |  |  |  |
| 8.     |             | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |  |  |  |  |  |  |
| 9.     | $\boxtimes$ | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).   |  |  |  |  |  |  |  |
| 10.    |             | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).                   |  |  |  |  |  |  |  |
| 11.    |             | A copy of the International Preliminary Examination Report (PCT/IPEA/409).  |  |  |  |  |  |  |  |
| 12.    | $\boxtimes$ | A copy of the International Search Report (PCT/ISA/210).  |  |  |  |  |  |  |  |
| Ite    | ms 1        | 3 to 23 below concern document(s) or information included:  |  |  |  |  |  |  |  |
| 13.    |             | An Information Disclosure Statement under 37 CFR.1.97 and 1.98.   |  |  |  |  |  |  |  |
| 14.    |             | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |  |  |  |  |  |  |  |
| 15.    | $\boxtimes$ | A FIRST preliminary amendment.  |  |  |  |  |  |  |  |
| 16.    |             | A SECOND or SUBSEQUENT preliminary amendment.   |  |  |  |  |  |  |  |
| 17.    |             | A substitute specification.   |  |  |  |  |  |  |  |
| 18.    |             | A power of attorney and/or change of address letter.  |  |  |  |  |  |  |  |
| 19.    |             | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.  |  |  |  |  |  |  |  |
| 20.    |             | A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |  |  |  |  |  |  |  |
| 21.    |             | A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).   |  |  |  |  |  |  |  |
| 22.    | $\boxtimes$ | Express Mail Label No. EV 417142560 US  |  |  |  |  |  |  |  |
|        |             |   |  |  |  |  |  |  |  |

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PTO-1390 (Rev. 07-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| U.S. APPENDATION NO (1 known, see 47 CFR 1.5)   |   | 7 CFR 1.5)                      | INTERNATIONAL APPLICATION NO.                    |                   |                      | ATTORNEY'S DOCKET NUMBER |                        |              |
|---|---|---------------------------------|--|-------------------|----------------------|--------------------------|------------------------|--------------|
| 13/3/1318   |   |                                 | PCT/EP2005/001196                                |                   |                      |                          | 5727-200678            |              |
| Certificate<br>Copy of P  | ns or information:<br>e Under 37 CFR 1.1<br>CT Request as filed<br>VO 2005/085840 A1  | with Declara                    | tion: Inventorship Und                           | ler Ru            | le 26ter.1,          |                          |                        |              |
| The following fees have been submitted:   |   |                                 |  |                   |                      |                          | CALCULATIONS           | PTO USE ONLY |
| 24. 🗵 Basic na  | ational fee   |                                 |  |                   |                      | \$300                    | \$ \$300.00            | _            |
| 25. Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0  All other situations   |   |                                 |  |                   |                      |                          | \$ \$200.00            |              |
| 26. Search fee (37 CFR 1.492(b))  If the written opinionof the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100  International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400  All other situations\$500 |   |                                 |  |                   |                      |                          | \$ \$400.00            |              |
|   | L OF 24, 25 and   |                                 |  |                   |                      | *****                    | \$ \$900.00            |              |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or fraction RATE   |   |                                 |  |                   |                      |                          |                        |              |
|   | thereof (round up to a whole number)  |                                 |  |                   |                      |                          |                        |              |
| - 100 =   | 0 /50 =   |                                 | 0  |                   |                      | 0.00                     | \$ \$0.00              |              |
| Surcharge of \$130 declaration after the  | 0.00 for furnishing a   | any of the sea<br>cement of the | rch fee, examination fe<br>national stage (37 CF | e, or 1<br>R 1.49 | he oath or<br>2(h)). |                          | \$                     |              |
| CLAIMS  | NUMBER F  |                                 | NUMBER EXTRA                                     |                   | RATE                 |                          |                        |              |
| Total claims  | 30  | - 20 =                          | 10   | х                 | \$5                  | 0.00                     | \$ \$500.00            |              |
| Independent claim   | ns 3  | - 3=                            | 0  | х                 | \$20                 | 0.00                     | \$ \$0.00              |              |
| MULTIPLE DEPE   | NDENT CLAIMS (  | if applicable)                  |  | +                 | \$36                 | 0.00                     | \$ \$0.00              |              |
| MT  |   | TOTA                            | L OF ABOVE CA                                    | ALC               | JLATIO               | NS =                     | \$ \$1,400.00          |              |
| ☐ Applicant cla   | Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. |                                 |  |                   |                      |                          | \$ \$0.00              |              |
|   |   |                                 |  | S                 | UBTOT                | AL =                     | \$ \$1,400.00          |              |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).   |   |                                 |  |                   | \$ \$0.00            |                          |                        |              |
|   |   |                                 | TOTAL  | ITAL              | ONAL F               | EE =                     | \$ \$1,400.00          |              |
| Fee for recording accompanied by a  | the enclosed assign   | nment (37 CF<br>r sheet (37 CF  | R 1.21(h)). The assig                            | nmen<br>er pro    | must be              | +                        | \$ \$0.00              |              |
|   |   |                                 | TOTAL FEI  |                   |                      | ED =                     | \$ \$1,400.00          |              |
| <del> </del>  |   |                                 |  |                   |                      |                          | Amount to be refunded: | \$ .         |
|   |   |                                 |  |                   |                      |                          | Amount to be charged:  | \$           |

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

a. A check in the amount of \$ to cover the above fees is enclosed. \$1,400.00 to cover the above fees. Please charge my Deposit Account No. 10-0435 in the amount of \$ A duplicate copy of this sheet is enclosed.  $\boxtimes$ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment C. . A duplicate copy of this sheet is enclosed. to Deposit Account No. 10-0435 information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status SEND ALL CORRESPONDENCE TO: MICHAEL, Jeffrey A. Jeffrey A. MICHAEL Barnes & Thornburg LLP 11 South Meridian Street NAME Indianapolis, IN 46204 37,394 **Customer Number 23643 REGISTRATION NUMBER** 1 September 2006 (01.09.2006) DATE

10/591311 IAP12 Rec'd PCT/PTO 01 SEP 2006

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### IN THE UNITED STATES PATENT OFFICE

Earliest Priority

04 March 2004 (04.03.2004)

Date:

International Serial PCT/EP2005/001196

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U.S. Serial No.:

Unknown

Invention:

HANDHELD APPARATUS FOR

ANALYSIS WITH A CONVEYANCE

PATH FOR TEST ELEMENTS

Applicants:

SCHABBACH, Michael

International Filing 05 February 2005 (05.02.2005)

Attorney

5727-200678

Docket:

## CERTIFICATE UNDER 37 C.F.R. § 1.10

MAIL STOP PCT Attention: DO/EO/US Commissioner for Patents P.O. Box 1450

Alexandria, VA. 22313-1450

Sir:

Express Mail" Mailing Label No.: EV 417142560 US Date of Deposit: 01 September 2006 (01.09.2006)

I hereby certify that this paper or fee is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450.

Indianapolis, Indiana (317) 231-7287